

Investor Application Individual



Personal Details

First name:	Middle name:	Surname:
Date of Birth: D M Y		
Preferred Name (optional):		
IRD Number:		

Contact Details

Email address:	Phone:	Mobile	Landline
Address:		Suburb:	
City:	Country:	Postcode:	

Residency

Are you a NZ Citizen or NZ Permanent Resident? ☐ NZ Citizen ☐ NZ Permanent Resident

If not, what is your country of birth? If you are not an NZ Citizen, please provide a copy of your visa

Are you a tax resident of another country? ☐ No ☐ Yes - You have an obligation to pay tax in another country

If yes, what country are you a tax resident of? Tax Identification Number (TIN):

Tax & Banking Details

Tax Deduction Rate (Please tick): ☐ 10.5% ☐ 17.5% ☐ 30% ☐ 33% ☐ 39% ☐ Non-resident ☐ Exempt

Bank Account: Name of Account Holder(s):

Bank Account Number: |

DOCUMENTS REQUIRED: Proof of bank account displaying bank logo, bank account number and account name to match applicant name(s). Note: If no tax deduction rate is selected, 30% will automatically apply.

Financial Declaration

Southern Cross Partners is a reporting entity under the Anti-Money Laundering and Countering Financing of Terrorism (AML/CFT) Act 2009. The following questions are designed to assist us with our compliance obligations. More information about the Act can be found at <https://www.legislation.govt.nz/act/public/2009/35/en/latest/#DLM2140720>

Purpose of Account: ☐ Savings ☐ Investment ☐ Retirement ☐ Other (please specify)

Have you participated in this type of investment in the past? ☐ No ☐ Yes

Investor Application – Individual

Values below are to include the total value of ALL assets held by the investor: (Figures can be approx)

Approx value of all investments/cash: \$

Approx value of all property: \$

Approx value of all other assets: \$

How have you mainly acquired these assets? (tick all that apply)

- ☐ Salary/Wage
 ☐ Sale of Investment Property
 ☐ Sale of home
 ☐ Interest earned on investments/savings
☐ Business earnings
 ☐ Dividends from shares
 ☐ Inheritance
 ☐ Other (please specify)

Please note: We may contact you if we seek further clarification

Where did you hear about us? (tick all that apply)

- ☐ Internet Search
 ☐ Social Media
 ☐ Newspaper
 ☐ Current SCP Investor
☐ Event
 ☐ Radio
 ☐ interest.co.nz
☐ Other (please specify)

Identity & Address Verification

The AML/CFT Act 2009 came into effect on 30 June 2013. Southern Cross Financial is legally required to confirm the identity of its customers and any person acting on behalf of the customer.

We have your permission to complete verification in relation to your identity and personal information, by whatever manner is most appropriate to our satisfaction. Southern Cross Partners prefers to confirm your identity biometrically via a text to your mobile device. Please note: ID needs to be a current and original Passport or Drivers Licence (NZ & Australian only).

☐ Yes, I request Southern Cross Partners to send us a text message to verify my identity and address, using electronic verification (EV).

Please contact us if this is not suitable for you.

Signature

By signing this application form I confirm that I have read, understood and agree to be bound by the terms of the Service Disclosure Statement, Investor Agreement and General Terms and Conditions that govern my/our investments through Southern Cross Partners.

☐ I confirm the nature of the relationship between the Investor and Southern Cross Partners is that of 'Investor' and 'Loan and Investment Manager'

Signed:

Date: