## **Investor Application** Individual



Personal Details			
First name:	Middle name:		Surname:
Date of Birth: D M	Y	Preferred Na	me (optional):
IRD Number:			
Contact Details			
Email address:		Phone:	Mobile Landline
Address:		Suburb:	
City:	Country:		Postcode:
Residency			
Are you a NZ Citizen or NZ P	Permanent Resident?	NZ Citizen	NZ Permanent Resident
If not, what is your country of	of birth?		lf you are not an NZ Citizen or NZ Permanen Resident, please provide a copy of your visa
Are you a tax resident of another country?		No	Yes - You have an obligation to pay tax in another country
If yes, what country are you a tax reside	ent of?	Tax Identification N	Jumber (TIN):
Tax & Banking Details			
Tax Deduction Rate (Please ti	ck): 10.5% 17.	5% 30% 3	33% Non-resident Exempt
Bank Account Name:			
Bank Account Number:			
Documents required: Proof of bank acc Note: If no tax deduction rate is selecte		account name and ba	ank account number.
Financial Declaration			
	tions are designed to assist	us with our complia	nd Countering Financing of Terrorism (AML/ nnce obligations. More information about the /DLM2140720.htm
Purpose of Account:	Savings Investment	Retirement C	Other (please specify)
Have you participated in this	s type of investment in	the past?	No Yes

## **Investor Application** – Individual



Values below are to include the to	otal value of ALL assets held by t	he investor:
Approx value of all investments/o	cash: \$	
Approx value of all property:	\$	
Approx value of all other assets:	\$	
How have you mainly acquired th	ese assets? (tick all that apply)	
Salary/Wage Sale of Investr	ment Property Sale of home	Interest earned on investments/savings
Business earnings Dividends from	m shares Inheritance Oth	er (please specify)
Please note: We may contact you if we seek fu	orther clarification.	
Where did you hear about u	IS? (tick all that apply)	
Internet Search Social Media	Newspaper	Investor Event
Current Investor Radio	Interest. co.nz	Other (please specify)
Identity & Address Verificat	ion	
The AML/CFT Act 2009 came into effect on 30 June 2013. Southern Cross Financial is legally required to confirm the identity of its customers and any person acting on behalf of the customer.	We have your permission to complete verification in relation to your identity and personal information, by whatever manner is most appropriate to our satisfaction. Southern Cross Partners prefers to confirm your identity biometrically via a text to you mobile device. Please note: ID needs to be a current and original Passport or Drivers Licence (NZ & Australian only).	a text message to verify my identity and address.
Signature		
By signing this application form I confirm that I have read, understood and agree to be bound by the terms of the Service Disclosure Statement, Investor Agreement and General Terms and Conditions that govern my/our investments through Southern Cross Partners.	I confirm the nature of the relationship between the Investor and Southern Cross Partners is that of 'Investor' and 'Loan and Investment Manager'	Signed: Date:
FOR INTERNAL USE ONLY		
Compliance Signature:	Date:	