

INVESTOR APPLICATION INDIVIDUAL/JOINT



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Ph: +64 9 535 2239 | Email: info@scpartners.co.nz | www.southerncrosspartners.co.nz

PERSONAL - First Applicant

Surname _____
 First names _____
 Address _____

 Home phone _____
 Mobile _____
 *Separate email address is required per applicant
 Email _____

IRD number
 IRD numbers are requested due to the requirements of Section 54(1) of the Tax Administration Act 1994.

Tax deduction rate (please tick) 10.5% 17.5% 30% 33% 39% non-resident Exempt

For joint applications please select ONE tax rate only

RESIDENCY

Country of birth: _____
 I am a permanent resident of NZ
 (If not NZ, please provide further information.)

Are you a tax resident of another country?
 No (No further info needed) Yes - You are a tax resident of a country if you have an obligation to pay tax in that country. Contact your tax advisor if you are unsure.
 What country are you a tax resident of? _____

Tax Identification Number (TIN) _____
 (other than New Zealand)

PERSONAL - Second Applicant

Surname _____
 First names _____
 Address _____

 Home phone _____
 Mobile _____
 *Separate email address is required per applicant
 Email _____

IRD number
 IRD numbers are requested due to the requirements of Section 54(1) of the Tax Administration Act 1994.

Tax deduction rate (please tick) 10.5% 17.5% 30% 33% 39% non-resident Exempt

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BANK DETAILS FOR INTEREST

Bank account name _____
 Bank account number

DOCUMENTS REQUIRED Proof of bank account, showing bank logo, bank account name and bank account number

ELECTRONIC VERIFICATION The AML/CFT Act 2009 came into effect on 30 June 2013. Southern Cross Financial is legally required to confirm the identity of its customers and any person acting on behalf of the customer.

Southern Cross Partners prefers to confirm your identity via electronic means. We have your permission to complete verification in relation to your identity and personal information, by whatever manner is most appropriate to our satisfaction. ID needs to be a current and original Passport or Drivers License (NZ & Australian only). Note: not all customers will be able to use electronic verification, we will contact you if we need further information

Yes, I give permission for Southern Cross Partners send me a text message to electronically verify my identity and address.

By signing this application form, I/we confirm that I/we have read, understood and agree to be bound by the [terms of the Service Disclosure Statement, Investor Agreement and General Terms and Conditions](#) that govern my/our investments through Southern Cross Partners.

SIGNATURE _____ DATE / / _____

SIGNATURE _____ DATE / / _____

FOR INTERNAL USE ONLY
 COMPLIANCE SIGNATURE _____ DATE _____

AML/CFT LEGISLATION

(Anti-Money Laundering and Countering Financing of Terrorism Act 2009)

Compliance with the Act, which came into force in New Zealand on 30th June 2013, is compulsory for all Investment Managers (including Banks), and is overseen by the Government. This short series of questions is designed to assist us with our compliance obligations. More information about the Act can be found at <http://www.legislation.govt.nz/act/public/2009/0035/latest/DLM2140720.html>

Name of Investor _____

Purpose of Account Savings Investment Retirement income Other (please specify) _____

Assets (Values below are to include the total value of ALL assets held by the investment entity regardless of what is intended to be invested with SCP)

Approx. value of all property \$ _____

Approx. value of all investments/cash \$ _____

Approx. value of all other assets \$ _____

How have you mainly acquired these assets? _____

Property _____

Investments/cash _____

Other assets _____

Specifically in respect to the funds that you are proposing to invest through Southern Cross Partners, where have those funds been derived from, and where are they presently?

Where did you hear about us? _____

Have you ever participated in this type of investment in the past?

Yes No _____

I/We confirm the nature of the relationship between the Investor and Southern Cross Partners is that of 'Investor' and 'Loan and Investment Manager'

SIGNATURE _____

SIGNATURE _____

DATE / / _____

Please note that information gathered by us remains Private & Confidential, and that Southern Cross Partners complies with all obligations contained within the Privacy Act 2020 which gives you the right to see and correct information about you held by us.

FOR INTERNAL USE ONLY

COMPLIANCE SIGNATURE _____ DATE _____